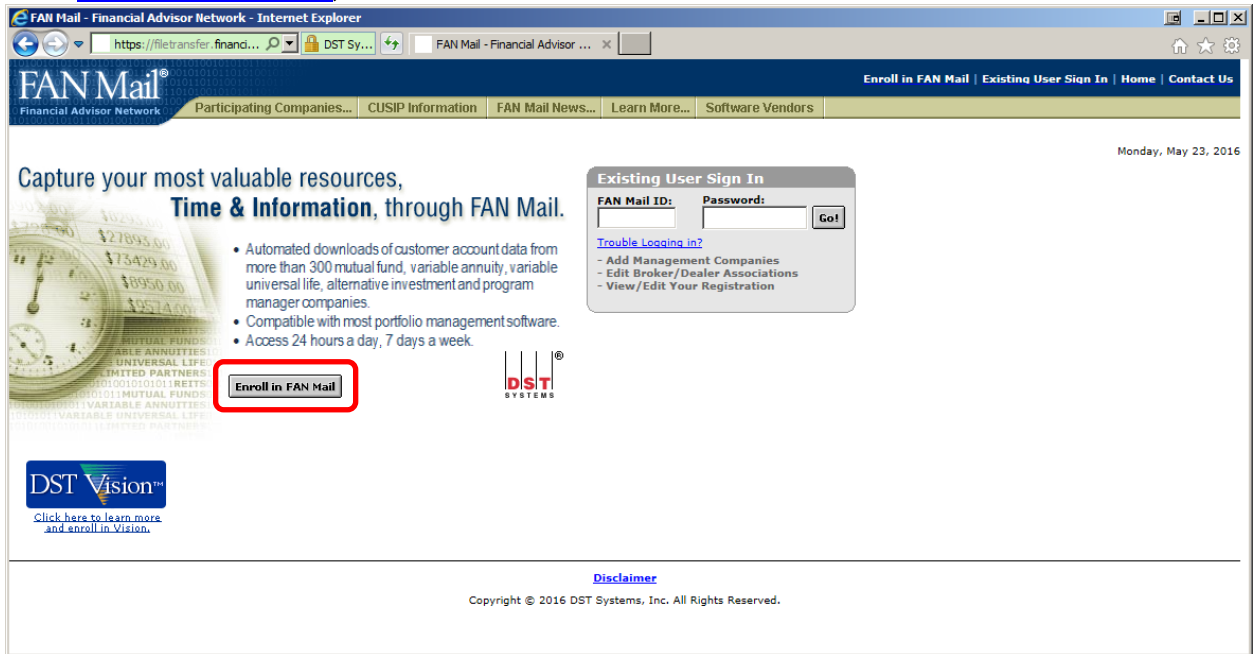
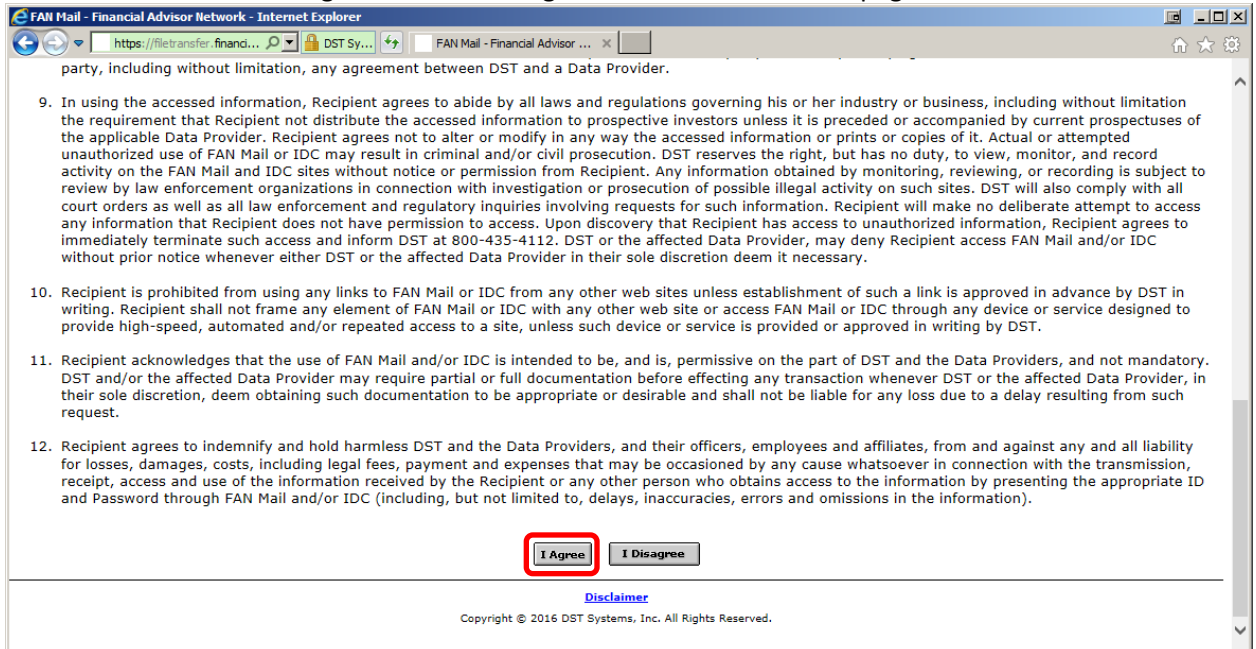


DST FAN Mail Enrollment Process

1. Go to www.dstfanmail.com, click on 'Enroll in FAN Mail' button

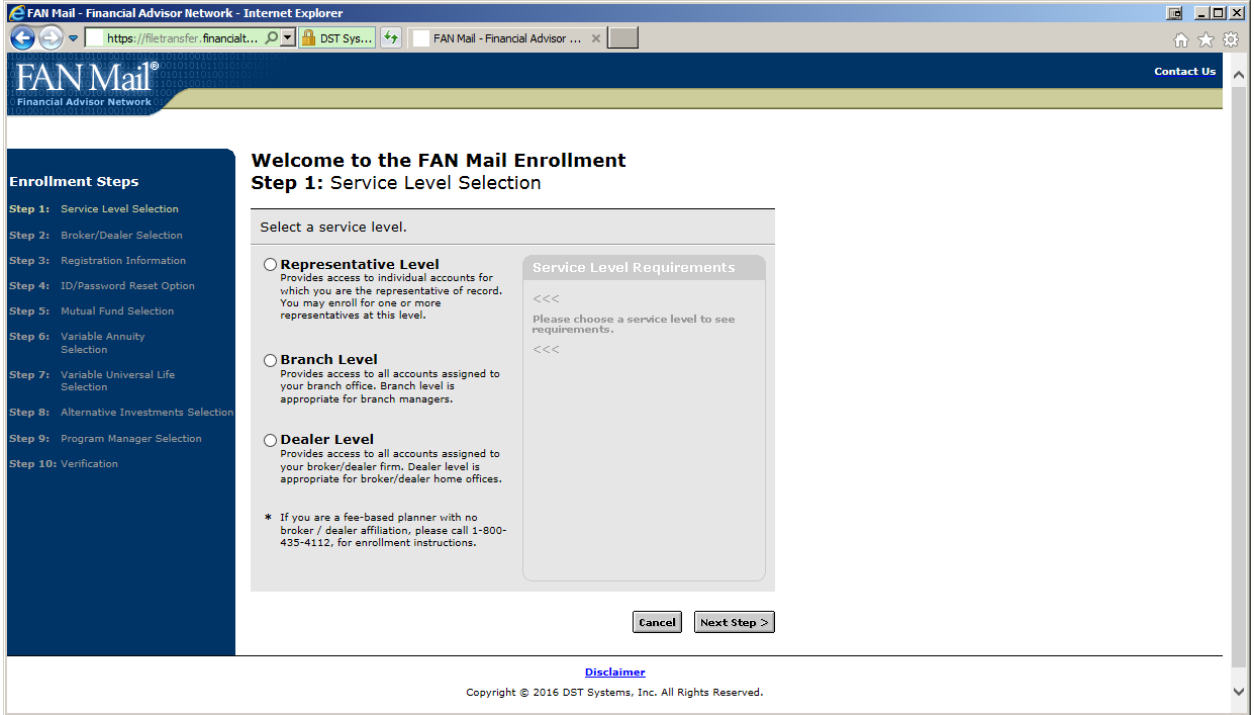


2. By clicking on 'Enroll in FAN Mail' button, it will bring you to the Terms & Conditions page, where user will read through and click on 'I Agree' at the bottom of the page.



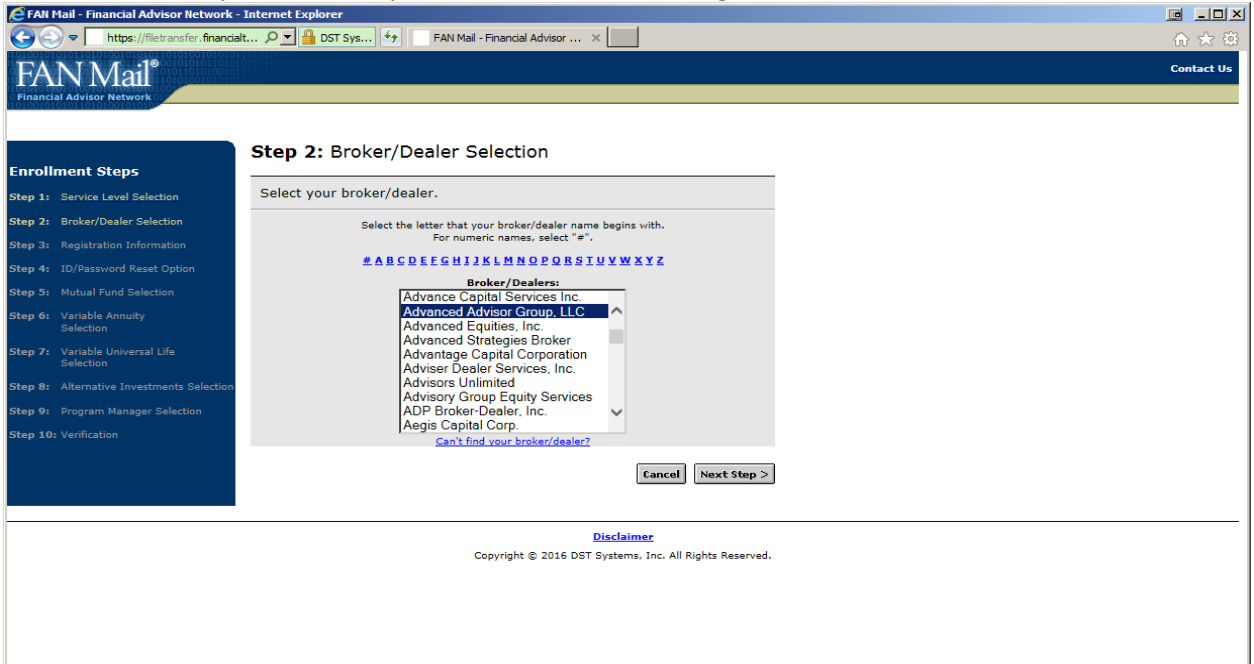
3. Step 1: Service Level Selection

- Select the appropriate level of access (Dealer, Branch, Representative)
 - If enrolling with a different access level, please contact FAN Mail support.



4. Step 2: Broker/Dealer Selection

- Select your Broker/Dealer affiliation
 - Depending on your B/D, you may be required to complete a B/D Authorization Letter.
 - If you are a RIA, please select R and then Registered Investment Advisor



5. Step 3: Registration Information

- Fill out profile details, select a Software Vendor, and establish a password

The screenshot shows the FAN Mail registration interface in Internet Explorer. The browser address bar shows the URL <https://retransfer.financialtrans.com/FANMail>. The page title is "FAN Mail - Financial Advisor Network".

Enrollment Steps

- Step 1: Service Level Selection
- Step 2: Broker/Dealer Selection
- Step 3: Registration Information
- Step 4: ID/Password Reset Option
- Step 5: Mutual Fund Selection
- Step 6: Variable Annuity Selection
- Step 7: Variable Universal Life Selection
- Step 8: Alternative Investments Selection
- Step 9: Program Manager Selection
- Step 10: Verification

Step 3: Registration Information

Enter the following registration information.

Primary Contact Information

First Name: John MI: E Last Name: Doe
Firm/Company Name (optional): ABC Firm
Address: 123 Main Street
City: Kansas City State/Province: Missouri Zip: 64105
Phone: 800-435-4112 Ext. (optional): Fax: (optional):
Email: johndoe@home.com
Mother's Maiden Name (or other security keyword): mom (used for security verification)

Customer Management Software Vendor

Not sure which software to select? Call 1-800-435-4112.
Morningstar Office

Establish FAN Mail Password

Your password:

- Must be at least 7 to 12 characters in length
- Must contain at least one alpha character (A-Z)
- Must contain at least one numeric or special character: @ # % & () - / ? _ = +

Please note that the password is case sensitive.

FAN Mail Password: [masked]
Re-enter FAN Mail Password: [masked]

Buttons: Cancel, Next Step >

[Disclaimer](#)

6. Step 4: ID/Password Reset Option

- Choose and answer two personalized security questions

The screenshot shows the FAN Mail registration interface in Internet Explorer. The browser address bar shows the URL <https://retransfer.financialtrans.com/FANMail>. The page title is "FAN Mail - Financial Advisor Network".

Enrollment Steps

- Step 1: Service Level Selection
- Step 2: Broker/Dealer Selection
- Step 3: Registration Information
- Step 4: ID/Password Reset Option
- Step 5: Mutual Fund Selection
- Step 6: Variable Annuity Selection
- Step 7: Variable Universal Life Selection
- Step 8: Alternative Investments Selection
- Step 9: Program Manager Selection
- Step 10: Verification

Step 4: ID/Password Reset Option

Please choose and answer two personalized security questions. These will be used to validate your identity in the event that your ID and/or password become misplaced or disabled.

Question #1: Select a question
Answer: Type Your Answer Here

Question #2: Select a question
Answer: Type Your Answer Here

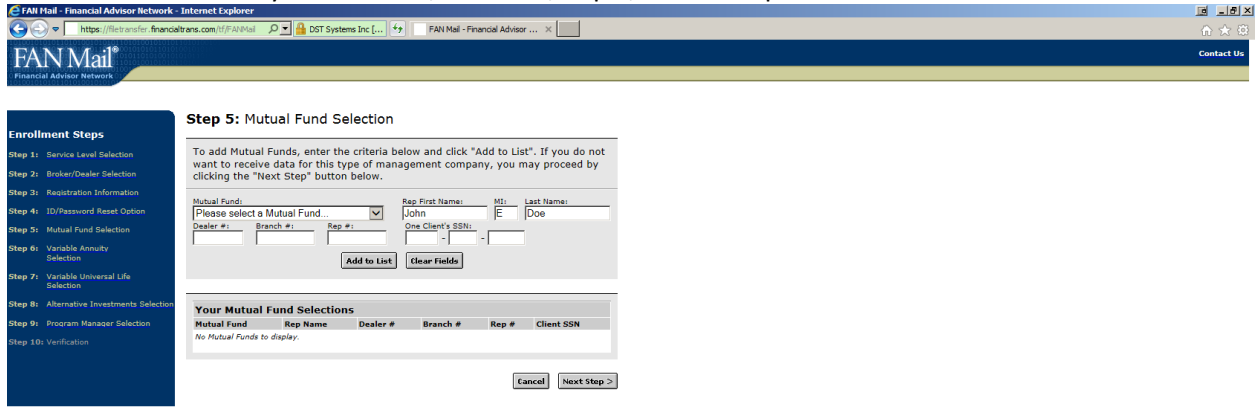
Buttons: Cancel, Next Step >

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7. Step 5: Mutual Fund Selection

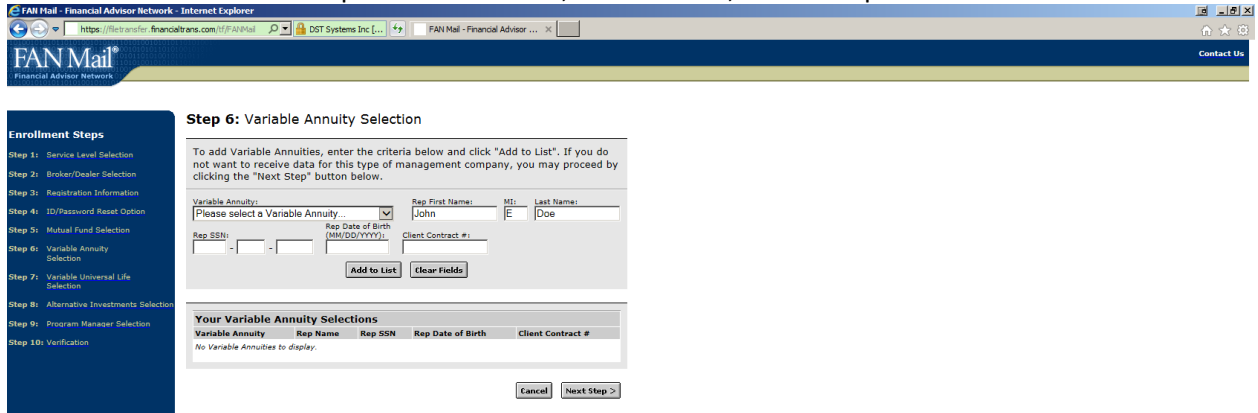
- Select the Mutual Fund companies you wish to receive downloads for
- You will need your Dealer #, Branch #, Rep #, and a sample client SSN



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8. Step 6: Variable Annuity Selection

- Select the Variable Annuity companies you wish to receive downloads for
- You will need the representative's SSN, Date of Birth, and a sample contract number



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9. Step 7: Variable Universal Life Selection

- This step is dependent on whether the Software Vendor selected is compatible with VUL product data, so user may receive a warning prompt ahead of the company selection.

Enrollment Steps

- Step 1: Service Level Selection
- Step 2: Broker/Dealer Selection
- Step 3: Registration Information
- Step 4: ID/Password Reset Option
- Step 5: Mutual Fund Selection
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- Step 7: Variable Universal Life Selection
- Step 8: Alternative Investments Selection
- Step 9: Program Manager Selection
- Step 10: Verification

Step 7: Variable Universal Life Selection

Would you also like your Variable Universal Life (VUL) contracts?

Yes No

At this time your Software Vendor has not completed their programming to interpret Variable Universal Life data files. By selecting "Yes" your request for access will be logged and your Software Vendor will be notified. If you do not want to receive data for this type of management company, select "No" and then click on the "Next Step" button below.

Mon May 23 08:21:18 CDT 2016
Morningstar Office
Sales Department
866-685-4494
sales@morningstar.com

[Next Step >](#)

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Step 7: Variable Universal Life Selection continued:

- Select the VUL companies you wish to receive downloads for
- You will need the representative's SSN, Date of Birth, and a sample contract number

Enrollment Steps

- Step 1: Service Level Selection
- Step 2: Broker/Dealer Selection
- Step 3: Registration Information
- Step 4: ID/Password Reset Option
- Step 5: Mutual Fund Selection
- Step 6: Variable Annuity Selection
- Step 7: Variable Universal Life Selection
- Step 8: Alternative Investments Selection
- Step 9: Program Manager Selection
- Step 10: Verification

Step 7: Variable Universal Life Selection

To add Variable Universal Life companies, enter the criteria below and click "Add to List". If you do not want to receive data for this type of management company, you may proceed by clicking the "Next Step" button below.

Variable Universal Life Company: Rep First Name: MI: Last Name:

Rep SSN: - - Rep Date of Birth (MM/DD/YYYY): Client Contract #:

[Add to List](#) [Clear Fields](#)

Your Variable Universal Life Selections

Variable Universal Life	Rep Name	Rep SSN	Rep Date of Birth	Client Contract #
No Variable Universal Life companies to display.				

[Cancel](#) [Next Step >](#)

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10. Step 8: Alternative Investments Selection

- Select the Alternative Investment companies you wish to receive downloads for
- You will need the Dealer #, Branch #, Rep #, and a sample client SSN

The screenshot shows the 'Step 8: Alternative Investments Selection' page. On the left is a vertical 'Enrollment Steps' menu with 10 steps, where Step 8 is highlighted. The main content area has a title 'Step 8: Alternative Investments Selection' and a paragraph: 'To add Alternative Investments companies, enter the criteria below and click "Add to List". If you do not want to receive data for this type of management company, you may proceed by clicking the "Next Step" button below.'

The form contains the following fields:

- Alternative Investments: A dropdown menu with the text 'Please select a Alternative Investments...'.
- Rep First Name: A text box containing 'John'.
- MI: A text box containing 'E'.
- Last Name: A text box containing 'Doe'.
- Dealer #: A text box.
- Branch #: A text box.
- Rep #: A text box.
- One Client's SSN: A text box.

Below the fields are two buttons: 'Add to List' and 'Clear Fields'.

Below the form is a table titled 'Your Alternative Investments Selections':

Alternative Investments	Rep Name	Dealer #	Branch #	Rep #	Client SSN
No Alternative Investments to display.					

At the bottom of the form area are two buttons: 'Cancel' and 'Next Step >'.

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11. Step 9: Program Manager Selection

- Select the Program Manager companies you wish to receive downloads for
- You will need the Dealer #, Branch #, Rep #, and a sample client SSN

The screenshot shows the 'Step 9: Program Manager Selection' page. On the left is a vertical 'Enrollment Steps' menu with 10 steps, where Step 9 is highlighted. The main content area has a title 'Step 9: Program Manager Selection' and a paragraph: 'To add Program Manager companies, enter the criteria below and click "Add to List". If you do not want to receive data for this type of management company, you may proceed by clicking the "Next Step" button below.'

The form contains the following fields:

- Program Manager: A dropdown menu with the text 'Please select a Program Manager...'.
- Rep First Name: A text box containing 'John'.
- MI: A text box containing 'E'.
- Last Name: A text box containing 'Doe'.
- Dealer #: A text box.
- Branch #: A text box.
- Rep #: A text box.
- One Client's SSN: A text box.

Below the fields are two buttons: 'Add to List' and 'Clear Fields'.

Below the form is a table titled 'Your Program Manager Selections':

Program Manager	Rep Name	Dealer #	Branch #	Rep #	Client SSN
No Program Managers to display.					

At the bottom of the form area are two buttons: 'Cancel' and 'Next Step >'.

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12. Step 10: Verification

- Confirm all information was entered correctly and submit the enrollment

Enrollment Steps

- Step 1: Service Level Selection
- Step 2: Broker/Dealer Selection
- Step 3: Registration Information
- Step 4: ID/Password Reset Option
- Step 5: Mutual Fund Selection
- Step 6: Variable Annuity Selection
- Step 7: Variable Universal Life Selection
- Step 8: Alternative Investments Selection
- Step 9: Program Manager Selection
- Step 10: Verification

Step 10: Verification

Verify your account information below. Click "Submit Enrollment" when your information is correct.

Broker/Dealer

Dealer: Advanced Advisor Group, LLC
[Modify](#)

Registration

Primary Contact: John E Doe
Mother's Maiden Name: mom

Firm Name: ABC Firm
Address: 123 Main Street
City: Kansas City
State: Missouri
Zip: 64105
Phone: (800)435-4112
Fax:
E-mail: johndoe@home.com
Software Vendor: Morningstar Office
[Modify](#)

Your Mutual Fund Selections

Mutual Fund	Rep Name	Dealer #	Branch #	Rep #	Client SSN
Aberdeen-Asset Management	John E Doe	1	123	123	123-45-6789

[Modify](#)

Your ID/Password Reset Options

ID/Password Reset option is currently active - online password reset status is enabled.

What is your main frequent flyer number?
Vision

What was your kindergarten teacher's last name?
VISION
[Modify](#)

[Cancel](#) [Submit Enrollment](#)